

# Diagnosis and Treatment of Patients with early and advanced Breast Cancer

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## Prognostic and Predictive Factors

# Prognostic and Predictive Factors

- **Versions 2002–2023:**

**Costa / Fasching / Fersis / Friedrichs / Gerber / Gluz / Göhring / Harbeck / Jackisch / Janni / Kolberg-Liedtke / Kreipe / Loibl / Lück / Mundhenke / Nitz / Rody / Schaller / Schmidt / Schmutzler / Schneeweiss / Simon / Solomayer / Thill / Thomssen / Untch / Witzel / Wöckel**

- **Version 2024:**

**Thill / Friedrich / Kreipe**

# Definition

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A **Prognostic Factors** is associated with the probability of the course of the disease (e.g. disease-free or progression-free survival, overall survival). The probability can be influenced by therapy.

A **Predictive Factor** is associated with the probability of the effect of a given therapy.

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**“Low absolute risk implies  
low absolute benefit”**

# Quality Criteria

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- **Biological hypothesis**
- **Simple and standardized assessment method, quality assurance (QA) of the test**
- **Prospectively planned statistical evaluation (primary goal)**
- **Validation of clinical significance according to**
  - „Oxford Level of Evidence (LoEOx2001)“ criteria and „Grades of Recommendation (GR)“
  - „Grades of Recommendation (GR)“ as well as modified LoE criteria for the use in archived specimen (LoE2009) and category of tumor marker study (CTS)
- **Clinical relevance for treatment decisions**

# Prognostic Factors for an Ipsilateral Recurrence after DCIS I

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	LoE
<b>Resection margins</b>	<b>1a</b>
<b>Age</b>	<b>1a</b>
<b>Size</b>	<b>1a</b>
<b>Grade</b>	<b>1a</b>
<b>Comedo necrosis</b>	<b>1a</b>
<b>Method of diagnosis</b>	<b>1a</b>
<b>Focality</b>	<b>1a</b>
<b>HER2-overexpression</b>	<b>1a</b>
<b>ER / PR (positive vs. negative)</b>	<b>1a</b>

#see chapter „DCIS“

# Prognostic Factors for an Ipsilateral Recurrence after DCIS II

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	LoE
<b>Hereditary breast cancer risk</b>	2a
<b>Premenopausal at time of DCIS diagnosis</b>	2a
<b>High BMI</b>	2a
<b>High breast density</b>	2a
<b>Growth pattern (cribriform / solid versus „clinging“ / micro-papillary)</b>	2b
<b>Residual tumor-associated microcalcifications</b>	2b
<b>Architecture</b>	2b
<b>(modified) Van Nuys Prognostic Index/ mitotic rate</b>	2b
<b>Palpable DCIS</b>	2b
<b>ER-, HER2+, Ki-67+</b>	2b
<b>Scores: DCIS, Oncotype DX Breast DCIS Score (12 genes); CCP (23 genes)</b>	2b
<b>MSKCC Nomogram</b>	2b
▪ <b>DCISionRT</b>	2b
<b>Intrinsic subtypes (luminal A, B, HER2+, triple negative)</b>	2b
<b>DCIS compared to invasive carcinoma with higher risk of contralateral BC</b>	2b
<b>High number of TILs</b>	2b

#see chapter „DCIS“

# Early Breast Cancer (M0) – eBC

## Prognostic Factors I

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Factor	Oxford		
	LoE <sub>Ox2001</sub>	GR	AGO
▪ Tumor size - pT	1a	A	++
▪ Axillary lymph node status - pN	1a	A	++
▪ Histological tumor type (mucinous, tubular etc.)	2b	B	++
▪ Grade (Elston & Ellis) - G	2a	B	++
▪ Age	2a	B	++
▪ Histologically proven peritumoral lymphatic vessel and vascular invasion (L1 V1)	1b	B	++
▪ pCR after NACT* in (luminal-B-like, HER2+, TN)	1a	A	++
▪ Increased risk of recurrence in invasive-lobular BC, cT3/4, N+	2a	B	+/-
▪ Obesity (BMI > 30 kg/m <sup>2</sup> )	1b	B	+
▪ Margins (resection status) - R0 / R1	1a	A	+

\* NACT = Neoadjuvant Chemotherapy



# Early Breast Cancer (M0) - eBC

## Prognostic Factors II

### Oxford

#### Factor

- ER / PR
- HER2 (IHC, ISH)
- ER / PR / HER2/ Ki-67 to assess the intrinsic type with regards to tumor histology and biology
- Proliferation markers
  - Ki-67 before, during, or after treatment
  - Ki-67 Re-Evaluation after short term preoperative endocrine therapy (2-4 weeks) (ypT and ypN)\*

#### LoE

#### GR

#### AGO

1a

A

++

1a

A

++

2b

B

++

1a

B

+

1a

B

+



# Reproducibility – Quality Assurance is Key for Clinical Decision Making

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- **ER / PR: concordance central vs local is high (97%; Plan B, SABCS 2014)**
- **Grade: concordance central vs local is 68% (PlanB, JCO 2016)**
- **HER2: frequency of false-positive test results 6% (ASCO /CAP JCO 2013)**
- **Impact of routine pathologic review in N0 BC: 20% changes: grade 40%, LVI 26%, N 15%, margin 12% (JCO 2012)**
- **pN0 from MIRROR study: pN0 was upstaged in 22%, in central pathology review (Ann Oncol 2012)**
- **Ki67:**
  - **Inter- and intraobserver variability in measurement of Ki-67 is high (J Nat. Cancer Institute 2011)**
  - **High reproducibility for low and high Ki67 levels (J Pathol 2002)**
  - **Standardized methodology improves analytical validity (JNCI 2020)**

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# Predictive pathology of endocrine responsiveness

	Oxford		
	LoE	GR	AGO
<ul style="list-style-type: none"> <li>Immunohistochemical detection of estrogen- and progesterone-receptors in paraffin-embedded tissue; scored as percentage of positive tumor cell nuclei (ER positive if <math>\geq 1\%</math>, low positivity <math>\geq 1\%</math> to <math>10\%</math>; PR positive if <math>\geq 10\%</math>)</li> </ul>	1a	A	++
<ul style="list-style-type: none"> <li>Detection of endocrine responsiveness by Ki-67 decrease to <math>\leq 10\%</math> after 3-4 weeks of preoperative endocrine therapy in primary breast cancer</li> </ul>	1b	A	+
<ul style="list-style-type: none"> <li>Detection of secondary, i.e. acquired endocrine resistance by analysis of activating ESR-1 mutations in liquid biopsy or metastatic tissue</li> </ul>	1b	A	+

- Immunohistochemical detection of estrogen- and progesterone-receptors in paraffin-embedded tissue; scored as percentage of positive tumor cell nuclei (ER positive if  $\geq 1\%$ , low positivity  $\geq 1\%$  to  $10\%$ ; PR positive if  $\geq 10\%$ )
- Detection of endocrine responsiveness by Ki-67 decrease to  $\leq 10\%$  after 3-4 weeks of preoperative endocrine therapy in primary breast cancer
- Detection of secondary, i.e. acquired endocrine resistance by analysis of activating ESR-1 mutations in liquid biopsy or metastatic tissue

# Early Breast Cancer (M0) - eBC

## Prognostic Factors III

Oxford

Factor	LoE	GR	AGO
<ul style="list-style-type: none"> <li>▪ Gene expression profiles (GEP, multigene assays, gene signatures)           <ul style="list-style-type: none"> <li>▪ MammaPrint® (N0-1)</li> <li>▪ Oncotype DX® (N0-1, HR+ HER2-)</li> <li>▪ EndoPredict® (N0-1, HR+, HER2 -)</li> <li>▪ Prosigna® (N0-1, HR+, HER2 -)</li> <li>▪ Breast Cancer Index<sup>SM</sup> (N0-1, HR+ HER2-)**</li> </ul> </li> <li>▪ IHC4 (ER / PR / HER2 / Ki-67) (validated for central testing)</li> <li>▪ PREDICT® algorithm (<a href="https://breast.predict.nhs.uk/">https://breast.predict.nhs.uk/</a>)</li> <li>▪ HER2DX (HER2+)</li> <li>▪ Clinical-pathological score for lobular breast cancer (nodal status, tumor size, lymphovascular invasion LVI)</li> <li>▪ CTS5 Clinical Treatment Score**</li> <li>▪ CPS-EG Score</li> <li>▪ RCB Score</li> </ul>	<ul style="list-style-type: none"> <li></li> <li>1b</li> <li>1b</li> <li>2b</li> <li>2b</li> <li>2b</li> <li>2b</li> <li>1b</li> <li>2b</li> <li>2b</li> <li>2b</li> <li>2b</li> <li>2b</li> <li>2a</li> </ul>	<ul style="list-style-type: none"> <li></li> <li>A</li> <li>A</li> <li>B</li> <li>B</li> <li>B</li> <li>B</li> <li>A</li> <li>B</li> <li>B</li> <li>B</li> <li>B</li> <li>B</li> <li>B</li> </ul>	<ul style="list-style-type: none"> <li></li> <li>+*</li> <li>+*</li> <li>+*</li> <li>+*</li> <li>+/-*</li> <li>+/-</li> <li>+</li> <li>+/-</li> <li>+/-</li> <li>+</li> <li>+</li> <li>+</li> <li>+</li> </ul>

\* Should only be used in the context of clinical-pathological criteria (tumor size, nodal involvement, grade, Ki-67, ER, PR, HER2)

\*\* Estimation of late recurrence

# Early Breast Cancer (M0) - eBC

## Prognostic Factors IV

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Factor	Oxford		
	LoE	GR	AGO
▪ Disseminated tumor cells (DTC, in bone marrow)	1a	A	+/-
▪ Circulating tumor cells (CTC, in blood, Cell Search®)*	1b	A	+/-
▪ CTC before NACT (regarding OS, DDFS, LRFI)	1b	B	+/-
▪ Therapy decisions based on CTC phenotypes	3a	C	-
▪ Cell-free DNA (cfDNA, ctDNA in blood, prognostic for DFS, PFS, DDFS, OS)	2a	B	+/-

# Commercially Available Molecular Tests

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	<b>70 gene signature (MammaPrint®) §</b>	<b>21 gene Recurrence score (Oncotype DX®) §</b>	<b>8 gene signature (Endopredict®) §</b>	<b>PAM 50 (Prosigna®) §</b>	<b>Breast Cancer Index® (BCI) §</b>
<b>Provider</b>	Agendia	Genomic Health	Sividon (Myrirads)	NanoString	Biotheranostics
<b>Type of assay</b>	70-gene assay	21-gene recurrence score	11-gene assay	50-gene assay	5 + 2 (MGI+H/I)
<b>Type of tissue</b>	fresh frozen (technical validation for FFPE available)	FFPE	FFPE	FFPE	FFPE
<b>Technique</b>	Microarrays for RNA	qRT-PCR	q-RT-PCR	Direct hybridization (nCounter®)	q-RT-PCR
<b>Central lab</b>	yes	yes	no	no	yes
<b>Indication and population studied</b>	prognostic N-/+, < 70 Jahre	prognostic N-/+, ER+ endocrine treated	prognostic (pre-) postmenopausal N-/+, ER+ HER2- endocrine treated	prognostic postmenopausal N-/+, ER+ HER2- endocrine treated	Prognostic pT1-3pNo – pN1 ER+ / HER2– Endocrine treated
<b>Risk classes</b>	Low – high	RS (Low – intermediate – high)	Low – high	ROR (Low – inter- mediate – high), molecular types	Low - high
<b>Clinical Validation</b>	Yes	yes	yes	yes	Yes
<b>Registration</b>	FDA clearance as “In Vitro Diagnostic Multivariate Index Assay (IVDMIA)» CE-Mark (fresh tissue and FFPE)	Clinical Laboratory Improvement Amendments (CLIA) + College of American Pathologists (CAP)- accredited ref lab	CE-Mark	CE-Mark FDA 510(k) Clearance	Service Mark (SM)

§ Validated clinical data only available for this assay

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	<b>70 gene signature (MammaPrint®) §</b>	<b>21 gene Recurrence score (Oncotype DX®) §</b>	<b>8 gene signature (Endopredict®) §</b>	<b>PAM 50 (Prosigna®) §</b>	<b>Breast Cancer Index® (BCI)</b>
<b>Prognosis after 5 yrs (late recurrences)</b>	not separately shown	yes	yes	yes	yes
<b>Predictive impact (chemotherapy benefit)</b>	poorly validated	yes	not shown	not shown	EAT after 5 yrs
<b>Prospective-retrospective evidence (% of recruited patients)</b>	Multicenter validation	NSABP B-14 <b>(14%)</b> NSABP B-20 <b>(28%)</b> ECOG 9127 SWOG 8814 <b>(40%)</b> ATAC <b>(30%)</b>	ABCSG 6 <b>(19%)</b> ABCSG 8 <b>(36%)</b> GEICAM-9906 <b>(45%)</b> ATAC <b>(10%)</b>	MA.12 <b>(59%)</b> MA.5 <b>(66%)</b> ABCSG 8 <b>(44%)</b> ATAC <b>(16%)</b>	TransATTOM <b>(11%)</b>
<b>Prospective evidence</b>	MINDACT (N0, N1) (8y DFS, OS)	TAILORx (12 y DFS, OS), N0, RS ≤ 25 vs. ≥ 26 PlanB (N0 highrisk/N+) (5 y DFS, OS) RxPONDER (5 y DFS, OS), N1, RS ≤ 25 vs. ≥ 26) ADAPT (5 y DFS, OS), N0-1, RS 0-11; RS 12-25 / Ki67 response	–	–	--

§ Validated clinical data only available for this assay



# Prospective Clinical Trials (Oncotype DX® [TAILORx, PlanB, RxPONDER, ADAPT], MammaPrint® [MINDACT])

Prognosis in low-risk groups excellent for both tests: ~ 94% 5 J. DFS with only adjuvant endocrine therapy (ET)

	<b>TailorX</b>	<b>RxPONDER</b>	<b>PlanB</b>	<b>ADAPT</b>	<b>MINDACT</b>
Follow-up	median 7.5 years	median 5.1 years	5-year-DFS	median 60 months	median 8.7 years
Trial design (biomarker question)	pN0; Randomization RS 11-25 (+/- CTX)	pN1; Randomization RS0-25 (+/- CTX)	Prospective ODX testing: ET alone in RS 0-11 pN0-1	Non-inferiority (iDFS) ET alone: RS 0-11 vs RS12-25/ET response	Prospectively defined 5y-DMFS threshold for ET alone
Percentage clinically defined low-risk group	6615/9427 (70.2%, adj-online)	all 1-3 involved lymph nodes	all clinical CTX indication (pN0-1)	all clinical chemotherapy (CTX) indication (c/pN0-1)	3336/ 6693 (49.8%, adj-online)
Percentage high clinical risk and low genomic risk (clinical CTX indication)	16.7% (RS 0–10)	42.8% (RS 0-13)	15.3% (RS 0–11)	ET-trial (pN0-1): all RS 0-25, i.e. low genomic risk with ET alone	23.2% (high clinical/low genomic risk)
Test failure rate	n.r.	n.r.	2.9%	n.r.	26% (fresh frozen)
Percentage genomically intermediate-risk group (only for Oncotype DX, ODX)	69.1% (RS 11–25)	57.2% (RS 14-24)	60.4% (RS 12–25)	Included only RS 0-11 (37.9%) or RS 12-25/ET response (62.1%)	n.a.
Percentage genomically high-risk group (only for Oncotype DX)	14.3% (RS ≥ 26)	n.a.	24.3% (RS ≥ 26)	n.a.	27.0% (high clinical <u>and</u> high genomic risk)
12-year follow-up	reported	n.r.	n.r.	n.r.	n.r.

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# Adjuvant Endocrine Therapy

## Predictive Factors for DFS

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Therapy	Factor	Oxford		
		LoE	GR	AGO
▪ Endocrine therapy	▪ ER / PR status [%]	1a	A	++
	▪ IHC staining intensity (ER/PR)	1a	A	-
	▪ Ki-67 Re-Evaluation after short preoperative endocrine therapy (2-4 weeks) (ypT and ypN)*	1b	A	+
▪ Extended endocrine therapy (EAT)	▪ Breast Cancer Index <sup>®</sup> MammaPrint	2b	B	+/-
▪ Tamoxifen	▪ CYP2D6-polymorphism	2b	B	-
▪ Ovarian ablation or suppression	▪ Menopausal status	1c	A	++
▪ Aromatase inhibitors vs. tamoxifen	▪ Menopausal status	1c	A	++
	▪ ER / PR / HER2 as single factors	1c	A	-
	▪ Invasiv-lobular breast cancer	2b	B	+
	▪ Ki-67 high	2b	B	+/-
	▪ Obesity (BMI > 30 kg/m <sup>2</sup> )	2b	B	+/-

# Adjuvant Chemotherapy and Targeted Therapy

## Predictive Factors for DFS



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Therapy	Factor	Oxford		
		LoE	GR	AGO
<ul style="list-style-type: none"> <li>Adjuvant Chemotherapy</li> </ul>	70-Gene-signature (Mammaprint®)	1b	A	+
	21-Gene-signature (Oncotype DX RS®)	1b	A	+
	EPclin (Endopredict®)	2b	B	+
	PAM-50 (Prosigna®)	2b	B	+
	Histological type (lobular vs. NST)	2b	B	-
	TIL´s in TNBC	2b	B	+/-
<ul style="list-style-type: none"> <li>Anti-HER2-Therapy</li> </ul>	HER2 (IHC, ISH)	1a	A	++
<ul style="list-style-type: none"> <li>PARP-Inhibitors</li> </ul>	gBRCA1/Mutation (HER2 neg.)	1a	A	+

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\*Consider decision according to age/menopausal status, prospective evidence available for Mammaprint and OncotypeDX only (see next slide)

# Results for prospectively evaluated biomarkers (LOE1a) in early HR+/HER2- breast cancer

biomarker/ signature	Population (HR+/HER2- patients)	therapy options
Mammaprint (MINDACT n=2140)	Clinically high/genomic low risk (n=1550) N0-1, age >50 yrs N0-1, age ≤50 yrs (patients with OFS in the ET arm: 26%)	ET, no adjuvant CT adjuvant CT→ET*: 2.6% CT-benefit in 5-y DDFS (93.6 vs. 96.2%)
Oncotype DX (TAILORx n=6711)	TailorX (T1b-T2, N0, 74% clinically low risk, 13% OFS in premenopausal women) N0, RS 0-25 age>50 yrs. N0 RS 0-15 age ≤50 yrs N0 RS 16-25 age ≤50 yrs	ET, no adjuvant CHT ET, no adjuvant CHT adjuvant CT→ET*: (3.2-3.4% CT-benefit in 5-y DRFI (93→95-96% 5 y DRFI, in RS 16-20 if clinical high risk only, 16-20: HR=1.4 (n.s. ), 21-25: HR=2.19 (sign) for ET vs. CT→ET
RxPonder (n=5018)	RxPonder: N1 RS 0-25: postmenopausal RS 0-25: premenopausal (patients with OFS in the ET arm: 19%)	ET, no adjuvant CT (neo)adjuvant CT→ET* 2.4% CT benefit in 5-y DRFI (5-y DRFI 93.9 vs. 96.3%, HR=0.062, p=0.02) explorative analysis: no effect of CT age 50 and older (p <sub>interaction</sub> 0.06)
RS + Ki-67 <sub>post</sub> (ADAPT, n=2290 endocrine treated)	clinically intermediate/high risk , RS 0-25 (RS 12, 25+Ki67 <sub>post</sub> ≤10% ) N0-1, age>50 yrs N0, RS 0-11 and age ≤50 yrs N0, RS 12-25 with Ki67 <sub>post</sub> ≤10% and age ≤50 yrs  N1: RS 0-25 (+ Ki-67 <sub>post</sub> ≤10% in RS 12-25) and age ≤50 yrs N1: RS 0-25 and ki-67 <sub>post</sub> >10%	ET, no adjuvant CT adjuvant ET, no adjuvant CT adjuvant ET+/- OFS, if RS >16 or clinically high risk +/- CT: 5-yr-DDFS: 97% with ET alone, no significant difference between RS 0-15 and 16-25 adjuvant ET+OFS or CT→ET 5-yrs. DDFS 97% with ET alone (neo)adjuvant CT→ET

\* If CT is refused: alternative ET+OFS

DDFS=distant-disease-free-survival, DRFI= distant recurrence free interval, ET= endocrine treatment, CT= chemotherapy, OFS= ovarian function suppression, RS= Recurrence Score

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# Neoadjuvant Systemic Chemotherapy (NACT)

## Predictive Factors for pCR I



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Factor	pCR* Probability	Oxford		
		LoE	GR	AGO
▪ Young age	↑	1a	A	+
▪ Obesity	↓	2a	B	+
▪ cT1 / cT2 tumors o. N0 o. G3	↑↑	1a	A	++
▪ Negative hormone receptor status	↑↑	1a	A	++
▪ Triple negative breast cancer	↑↑	1a	A	++
▪ Positive HER2-status	↑↑	1a	A	++
▪ Early clinical response	↑	1b	A	+
▪ Lobular tumor type	↓	1a	A	+
▪ Metaplastic tumor type	↓↓	4	C	+

\* High (↑) or very high (↑↑) probability to reach pCR, low (↓) or very low (↓↓) probability to reach pCR  
See also chapter „Prognostic and predictive factors“

# Neoadjuvant Systemic Chemotherapy (NACT)

## Predictive Factors for pCR II



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Factor	pCR* Probability	Oxford		
		LoE	GR	AGO
<ul style="list-style-type: none"> <li>Gene expression profiles (gene signatures) (Mammaprint® (+ Blueprint®), Endopredict® Oncotype DX®, Prosigna®, PAM50®, Breast Cancer Index<sup>SM</sup>)</li> </ul>	↑	2b	B	+/-
<ul style="list-style-type: none"> <li>HER2DX (27 genes, response to trastuzumab/pertuzumab)</li> </ul>	↑	2b	B	+/-
<ul style="list-style-type: none"> <li>Ki-67</li> </ul>	↑	2b	B	+
<ul style="list-style-type: none"> <li>Tumor infiltrating lymphocytes**</li> </ul>	↑	2a	B	+
<ul style="list-style-type: none"> <li>PIK3CA mutation (for HER2-positive BC)</li> </ul>	↑	2a	B	+/-
<ul style="list-style-type: none"> <li>gBRCA-mutation (for the effect of chemotherapy)</li> </ul>	↑	2b	B	+
<ul style="list-style-type: none"> <li>gBRCA-mutation (for the effect of platinum)</li> </ul>	↔	2b	B	+/-

\* High (↑) or very high (↑↑) probability of pCR, low (↓) or very low (↓↓) probability of pCR

\*\* Defined as dense lymphocytic infiltration of inner peritumoral stroma outside of the invasion front (lymphocytes make up > 50% of stroma area)

# Metastatic Breast Cancer (mBC)

## Prognostic Factors

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### Factor

Oxford  
LoE GR AGO

Factor	LoE	GR	AGO
<ul style="list-style-type: none"> <li>■ Circulating tumor cells (CTC in blood, Cell Search®)           <ul style="list-style-type: none"> <li>■ Prognosis</li> <li>■ Early response assessment (3w)</li> </ul> </li> </ul>	1a	A	+
	1b	B	+
■ Therapy decision solely based on dynamics of CTC numbers over time or CTC phenotype	1b	A	-*
■ Cell-free DNA (cfDNA in blood)	2a	A	+/-

# Treatment of Metastatic Breast Cancer

## Markers for Indication

Oxford

Therapy	Factor	Oxford		
		LoE	GR	AGO
▪ Endocrine therapy	ER / PR (prim. tumor, better: metastasis)	1a	A	++
	Response to prior therapy	2b	B	++
▪ Elacestrant	Autocrine receptor mutation ( <i>ESR1</i> ) (metastases, plasma)	1b	B	++
▪ Alpelisib	<i>PIK3CA</i> mutation (prim. tumor, metastases, plasma)	1b	A	++
▪ Capiwasertib	<i>PIK3CA</i> , <i>AKT1</i> , <i>PTEN</i> alterations (primary tumor, metastases, plasma)	1b	A	+
▪ Trastuzumab Deruxtecan	HER2-low or HER2-positive	1b	A	++
▪ Chemotherapy	Response to prior therapy	1b	A	++
▪ Anti-HER2-therapy	HER2 (prim. tumor, better: metastasis)	1a	A	++
▪ Checkpoint-Inhibitors	PD-L1 positivity# (IC, CPS) in TNBC (primary tumor or metastasis)	1b	B	++
	MSI/TMB	3	C	+
▪ PARP-Inhibitors	<i>gBRCA1/2</i> -mutation	1a	A	++
	<i>sBRCA1/2/gPALB2</i>	2b	B	+

# Mutation Diagnostics\* in mBC: „Precision Medicine“ for Targeted Therapies

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Altered genes	Therapeutic relevance	Gene region	Material	Oxford		
				LOE	GR	AGO
<b>BRCA1, BRCA2</b>	<b>Olaparib, Talazoparib Olaparib</b>	<b>All exons</b>	<b>Germline: Blood cells</b>	<b>1b</b>	<b>A</b>	<b>++</b>
			<b>Somatic: Tissue</b>	<b>2b</b>	<b>B</b>	<b>+</b>
<b>PALB2</b>	<b>Olaparib</b>		<b>Germline: Blood cells</b>	<b>2b</b>	<b>B</b>	<b>+</b>
<b>PIK3CA</b>	<b>Alpelisib</b>	<b>Exons 7, 9 and 20</b>	<b>Primary tumor, metastases, plasma</b>	<b>1b</b>	<b>A</b>	<b>++</b>
<b>AKT1, PTEN, PIK3CA</b>	<b>Capivasertib</b>		<b>Primary tumor, metastases, plasma</b>	<b>1b</b>	<b>A</b>	<b>+</b>
<b>HER2-mutation (independent of HER2-status)</b>	<b>Neratinib, lapatinib</b>	<b>Kinase- and extracellular domains; S310, L755, V777, Y772_A775dup</b>	<b>Primary tumor, metastases, plasma particul. lobular BC</b>	<b>4</b>	<b>C</b>	<b>+/-</b>
<b>ESR1</b>	<b>Resistance against AI Response to Elacestrant</b>	<b>Exons 4, 7 and 8</b>	<b>Metastases, plasma</b>	<b>2b</b>	<b>B</b>	<b>+</b>
			<b>Metastases, plasma</b>	<b>1b</b>	<b>B</b>	<b>++</b>
<b>NTRK gene fusion</b>	<b>Larotrectinib, entrectinib</b>	<b>Fusion- and splice variants</b>	<b>Tumor tissue, particul. secretory breast cancer</b>	<b>2a</b>	<b>B</b>	<b>+</b>
<b>MSI</b>	<b>Pembrolizumab</b>	<b>Microsatellite-instability</b>	<b>Tissue</b>	<b>2a</b>	<b>B</b>	<b>+</b>

\* Ideally panel diagnostics # see chapter „pathology“



# Decision guidance prospectively evaluated biomarkers (LOE1a) and therapy options (mBC)



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<b>Biomarker / Signature-therapy option</b>	<b>Subtyp / Population</b>	<b>Therapy option</b>
PDL-L1 $\geq$ 1%	TNBC	First line Atezolizumab + nab Paclitaxel
CPS > 10	TNBC	First line Pembro + chemotherapy
PIK3CA mutation	HR+ / HER2-	Fulvestrant + Alplisib after failure of first line ET
BRCA1/2 mutation (OlympiAD, EMBRACA)	HER2 –	Olaparib, Talazoparib

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# Therapy-Relevant Mutational Analysis for „Actionable“ Genomic Alterations in BC

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Diagnostic Tool*	Outcome	Oxford		
		LoE	GR	AGO
<b>Evidence from studies with other cancer patients („tumor-agnostic testing“)</b>				
<ul style="list-style-type: none"> <li>Companion Diagnostics for therapies of other tumor entities (e.g. BRAF, FGFR1, ...)</li> </ul>	Efficacy of diverse therapies	4	D	+/-**
<ul style="list-style-type: none"> <li>Large Panel Gene Analysis (e.g. FoundationOne, GPS Cancer, NeoSelect, Molecular Health Guide, local „hand-selected,, panels)</li> </ul>	Efficacy of diverse therapies, prognosis	3a	C	+/-**
<ul style="list-style-type: none"> <li>Next Generation Sequencing (NGS) (recommended only in Tier 1 + 2)</li> </ul>	Efficacy of evaluated drugs	1b	B	+/-**

\* Assessment method for somatic mutations (tumor tissue, cf-DNA) is not taken into consideration for LoE

\*\* Participation in clinical trials or structured registries recommended



# Joint Consensus Recommendations of AMP, ACMG, ASCO and CAP for Reporting Genetic Variants in Cancer

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Tier	LoE		Explanation
Tier 1	A.1	Biomarkers that predict response or resistance to FDA-approved therapies for a specific type of cancer	Variants of strong clinical significance
	A.2	Biomarkers included in professional guidelines that predict response to therapies for a specific type of tumor	
	B	Biomarkers that predict response or resistance to therapies for a specific type of tumor based on well-powered studies with consensus from experts in the field	
Tier 2	C.1	Biomarkers that predict response or resistance to therapies approved by the FDA or professional societies for a different type of tumor	Variants of potential clinical significance
	C.2	Biomarkers that serve as inclusion criteria for clinical trials	
	D	Biomarkers that show plausible therapeutic significance based on preclinical studies	
Tier 3		Not observed at a significant allele frequency in the general or specific subpopulation databases, or pan-cancer or tumor-specific variant databases. No convincing published evidence or cancer association	Variants of unknown clinical significance
Tier 4		Observed at significant allele frequency in the general or specific subpopulation Databases. No existing published evidence of cancer association	Benign or likely benign variants

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